



UNIVERSITÀ
DEGLI STUDI DI MILANO-BICOCCA

COURSE SYLLABUS

Financial Markets Law

2526-2-F1601M058

Learning objectives

The main objective of the course is to provide specific training on the forms of regulation and supervision (subjects, activity, and markets), with reference to Italian and European juridical contexts. The course will analyze also the Innovation Technology of the financial sector (FinTech).

At the end of the course the student will be able to:

- correctly interpret existing financial legislation analyzed;
- apply the legal principles to concrete cases relating to the activity of financial intermediaries and new Fintech companies;
- assess the effects of regulations on the behaviour of traditional and innovative financial service providers.

Contents

The course aims to examine the regulation of the financial sector, with particular attention to aspects of public regulation and supervision. The course will also seek to highlight the criticality of the system especially in light of the current technological innovation (Fintech).

Detailed program

- Technology Innovation and Financial Market (Fintech)
- Financial market supervisory system (Consob and ESMA);
- Securities and financial products;

- Financial services;
- robo-advice;
- dealing on own account and HFT;
- Institutional investors;
- Market regulation;
 - Public offering;
 - Equity crowdfunding;
 - Crypto-assets.

Prerequisites

Teaching methods

Lectures

Assessment methods

Written assessment based on cases.

The evaluation is based on the program's issues, legal language, and critical approach.

Textbooks and Reading Materials

F. Annunziata, La disciplina del mercato mobiliare, Torino, ult. ed.

M.T. Paracampo, Fintech: introduzione ai profili giuridici di un mercato unico tecnologico dei servizi finanziari, Torino, ult. ed.

Semester

First Semester

Teaching language

Italian

Sustainable Development Goals

QUALITY EDUCATION | DECENT WORK AND ECONOMIC GROWTH
