WORD EMBEDDING Vector semantics

Prof. Marco Viviani marco.viviani@unimib.it



Word embedding – Definition

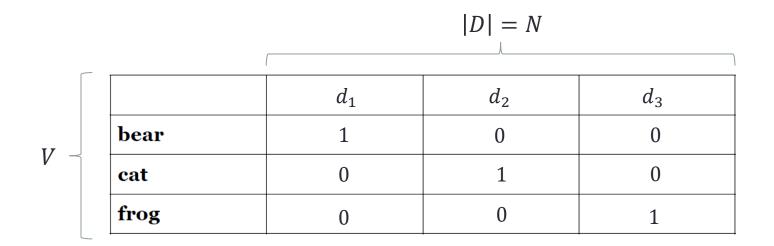
- The term word embedding indicates <u>a set of techniques</u> in *Natural Language Processing* (NLP) where words or phrases from the vocabulary are mapped to <u>dense</u> vectors of real numbers.
- Conceptually, it involves a mathematical embedding from a <u>vector space</u> with many dimensions per word to a <u>vector space</u> with a much lower dimension.
- **Models** to generate this <u>mapping</u> include:
 - Count-based models (Distributed semantic models)
 - Predictive models (Neural network models)

BACKGROUND

Text representation

Representing DOCUMENTS as vectors

- Each document is represented by a vector of words.
 - **Option 1**: <u>Binary</u> representation.



$$d_1 = [1, 0, 0]$$
 $d_2 = [0, 1, 0]$

 $d_3 = [0, 0, 1]$

Representing DOCUMENTS as vectors

- Each document is represented by a vector of words.
 - Option 2: <u>Raw frequency</u> representation.

	d_1	d_2	<i>d</i> ₃
bear	85	0	0
cat	0	10	0
frog	0	0	44

 $d_1 = [85, 0, 0]$ $d_2 = [0, 10, 0]$

 $d_3 = [0, 0, 44]$

Representing DOCUMENTS as vectors

- Each document is represented by a vector of words.
 - Option 3: Weighted representation.
 - Weighted term frequency (different possibilities)
 - tf-idf

	d_1	d_2	<i>d</i> ₃
bear	0.48	0	0
cat	0	0.48	0
frog	0	0	0.48

 $d_1 = [0.48, 0, 0]$ $d_2 = [0, 0.48, 0]$

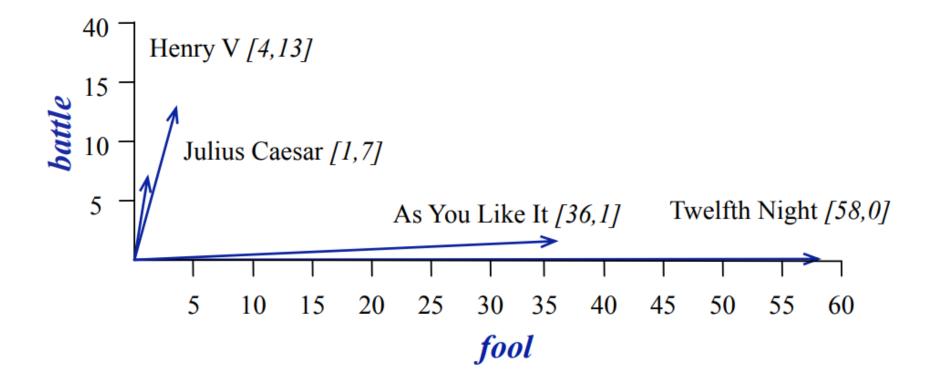
 $d_3 = [0, 0, 0.48]$

Similarity of DOCUMENTS

	As You Like It	Twelfth Night	Julius Caesar	Henry V
battle	1	0	7	13
good	114	80	62	89
fool	36	58	1	4
wit	20	15	2	3

- Vectors of the two comedies are similar. They are different with respect to the history plays.
 - Comedies have more "fools" and "wits" and fewer "battles".
- The vector representation of documents is at the basis of Information Retrieval → Vector Space Model

Visualizing similarity of DOCUMENTS

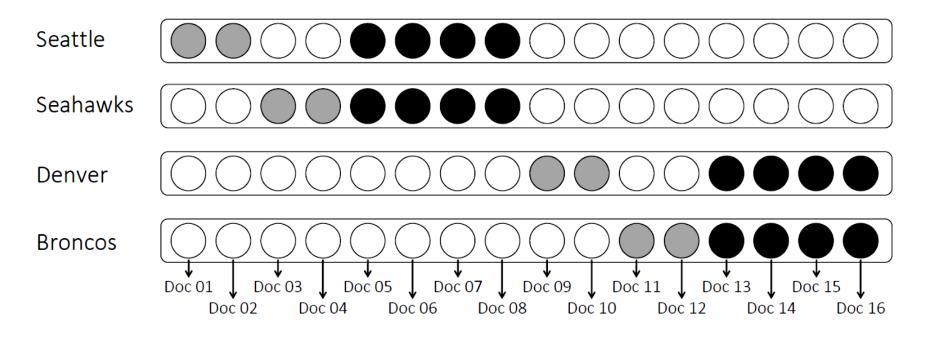


WORDS can be represented as vectors too

		As You Like It	Twelfth Night	Julius Caesar	Henry V	
ĩ	battle	1	0	7	13	1
_	good	114	80	62	89	
Ē	fool	36	58	1	4]
	wit	20	15	2	3	

- In the term-document matrix representation, a possible interpretation could be:
 - battle is "the kind of word that occurs history plays, in Julius Caesar and Henry V especially".
 - fool is "the kind of word that occurs in comedies, especially Twelfth Night".

In-document features



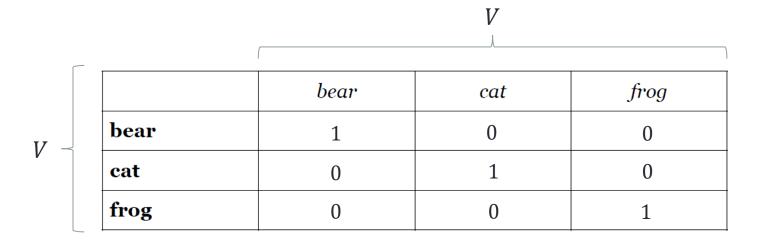
(a) "In-documents" features

Similarity of WORDS

- **Usually**, the similarity of words is **NOT** computed by using the term-document representation.
- Two words are similar if their «context vectors» are similar.
 - We are going to detail this concept in the next slides.
- The employed matrix representation, in this case, has words on both rows and columns.
 - Different representations and meanings.
 - Next slides.

Representing WORDS as vectors 1. Local representation

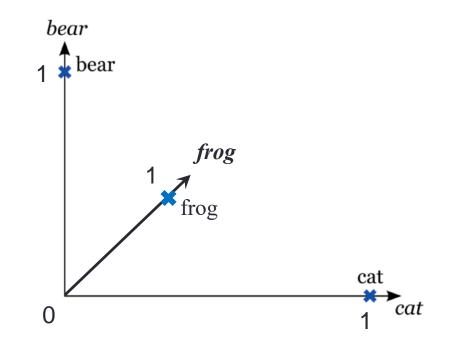
- Each word is represented by a vector of words.
 - Option 1: each element represents a different word.
 - Also known as "1-hot" or "1-of-V" or local representation.



bear = [1, 0, 0] cat = [0, 1, 0] frog = [0, 0, 1]

1-hot vectors

- 1-hot vectors tell us very little.
- We need a separate dimension for every word we want to represent (the base vectors in a vector space).



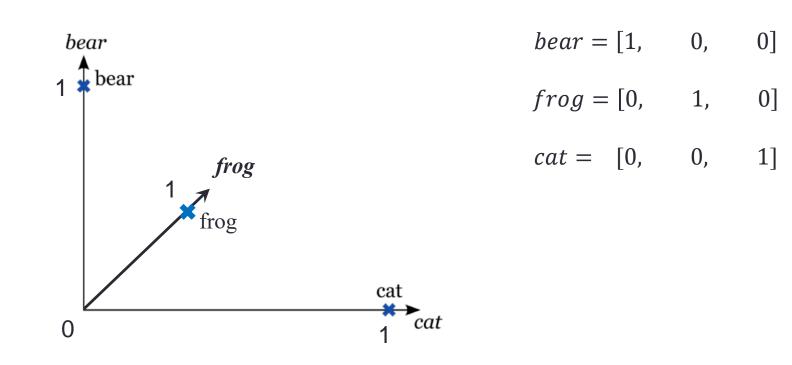
1-hot vectors

Few **problems** with the one-hot approach for encoding:

- The number of dimensions (the columns) increases linearly as we add words to the vocabulary.
 - For a vocabulary of 50,000 words, each word is represented with 49,999 zeros, and a single "one" value in the correct location. As such, memory use is prohibitively large.
- The matrix is very sparse, mainly made up of zeros.
- There is no shared information between words and no commonalities between similar words.

1-hot vectors

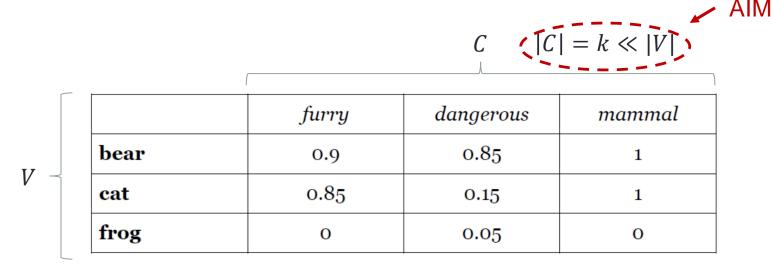
There is no shared information between words and no commonalities between similar words.



Representing WORDS as vectors

2. Distributed representation

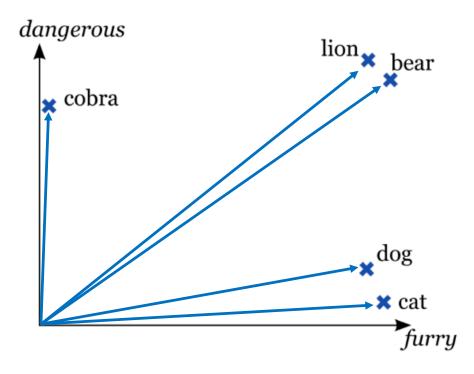
- Each word is represented by a vector of words.
 - Option 2: IDEA: to each word of the vocabulary are associated k "context dimensions" that represent "properties" associated with the words of the vocabulary.
 - Also known as distributed representation.



bear = [0.9, 0.85, 1.0] cat = [0.85, 0.15, 1.0]

Distributed representation

 "Distributed vectors" allow to group similar words/objects together, depending on the considered context.



	furry	dangerous
bear	0.9	0.85
cat	0.85	0.15
cobra	0.0	0.8
lion	0.85	0.9
dog	0.8	0.15

Distributed representation

 For simple scenarios, we can create a *k*-dimensional mapping for a simple example vocabulary by manually choosing contextual dimensions that make sense.

Vocabulary: Man, woman, boy, girl, prince, princess, queen, king, monarch

	Feminini	Youth	Royalty
Man	0	0	0
Woman	1	0	0
Воу	0	1	0
Girl	1	1	0
Prince	0	1	1
Princess	1	1	1
Queen	1	0	1
King	0	0	1
Monarch	0.5	0.5	1

Each word gets a 1x3 vector

Similar words... similar vectors

@shane_a_lvnn | @TeamEdgeTier_

Relationships between words

 In a well-defined distributed representation model, calculations such as:

$$[king] - [man] + [woman] = [queen]$$

$$[Paris] - [France] + [Germany] = [Berlin]$$

(where [x] denotes the vector for the word x) will actually work out!

$$[king] - [man] + [woman] = [queen]$$
$$[0, 0, 1] - [0, 0, 0] + [1, 0, 0] = [1, 0, 1]$$

Distributed representation: Advantages

Some well-known advantages:

- Each word is represented with a k-dimensional vector
 - Optimal representations are those with $k \ll |V|$.

Similar words have similar vectors

• There's a smaller distance between vector representation for "girl" and "princess", than from "girl" to "prince".

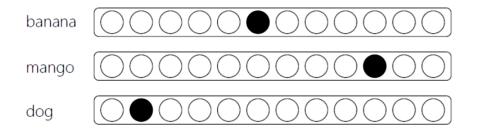
To be continued...

Distributed representation: Advantages

... cont'd

- The resulting matrix is much less sparse (less empty space), and we could potentially add further words to the vocabulary without increasing the dimensionality.
 - For instance, the word "child" might be represented with [0.5, 1, 0].
- Relationships between words are captured and maintained, e.g., the movement from king to queen, is the same as the movement from boy to girl, and could be represented by [+1, 0, 0].

Local VS Distributed representation



(a) Local representation

Local (or one-hot) representation

• Every term in vocabulary V is represented by a binary vector of length |V|, where one position in the vector is set to one and the rest to zero.

Distributed representation

• Every term in vocabulary *V* is represented by a real-valued vector of length *k*. The vector can be *sparse* or *dense*. The vector dimensions may be *observed* (e.g., hand-crafted features) or *latent* (e.g., embedding dimensions).

Extending to larger vocabularies

- Forming k-dimensional vectors that capture meaning in the same way that our simple example does, where similar words have similar vectors and relationships between words are maintained, is not a simple task.
- <u>Manual assignment</u> of vectors would be **impossibly** complex: individual dimensions cannot be directly interpretable.
- As such, various algorithms have been developed, some recently, that can take large corpora of text and create meaningful models.

Distributional hypothesis

 "Words which are similar in meaning occur in similar contexts".

(Harris, 1954)

 "You shall know a word by the company it keeps". (Firth, 1957)

• Central idea: represent each word by some context:

- E.g., words co-occurring with the considered word.
- We can use <u>different granularities of contexts</u>: documents, sentences, phrases, *n*-grams.

Phrase VS sentence

A phrase is a group of	A sentence is a group of
that does not express	that expresses a
a complete thought.	complete thought.
A phrase does not	A sentence has both
have a subject or	subject and
predicate or both.	predicate.
A phrase does not	A sentence gives
give complete	complete
information about	information about
the subject or	the subject and the
predicate.	predicate.
A phrase does not begin	A sentence begins with
with a capital letter and	a capital letter and
end with punctuation	ends with a full stop,
marks.	question or
₽ediaa.com	exclamation mark.

Phrase VS sentence: Example

Phrase: "Red apple".

- This is a phrase consisting of two words, "red" and "apple";
- It is not a complete thought on its own but conveys a simple description of an apple's color.

• **Sentence**: "The quick brown fox jumps over the lazy dog".

- This is a complete sentence;
- It consists of multiple words and forms a grammatically correct and meaningful expression;
- In this sentence, the subject is "the quick brown fox", the verb is "jumps", and the object is "over the lazy dog";
- The sentence conveys a clear action, where the fox is jumping over the dog.

Word-level *n*-grams

The	quick	brown .	fax	jumped	over	the	lazy	dag	10
The	quick	brown	fax	Jumped	over	the	lazy	dog	
The	quick	brown	fax	jumped .	over	the	lazy	dog	-
The	quick	brown	fox	jumped	over	the	lazy	dog	
The	quick	brown	fax	jumped	over	the	lazy	dog	10
			fox			the		dog	1

Character-level *n*-grams

Character-level unigrams

Text	Token Sequence	Token Value
Dogs	1	D
Dogs	2	0
Dogs	3	g
Dogs	4	S

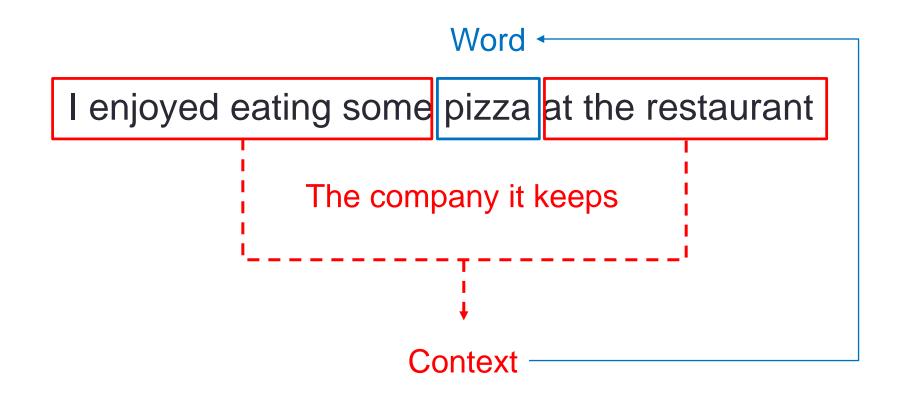
Character-level bigrams

Text	Token Sequence	Token Value
Dogs	1	Do
Dogs	2	og
Dogs	3	gs

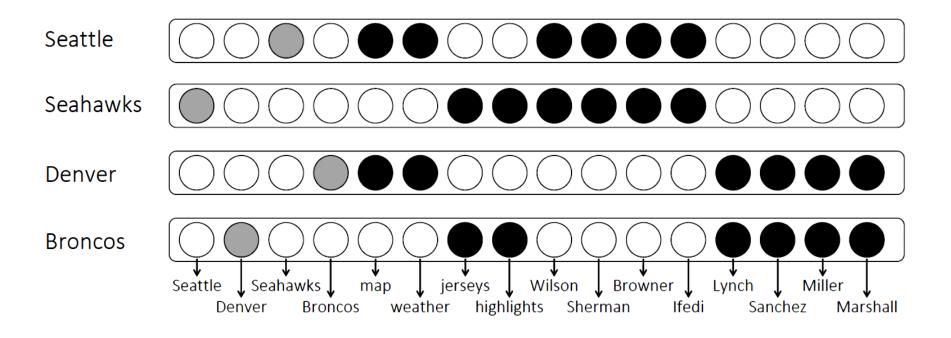
Character-level trigrams

Text	Token Sequence	Token Value
Dogs	1	Dog
Dogs	2	ogs

A simple example (Neighbouring terms)



Neighbouring terms features



(b) "Neighbouring terms" features

COUNTING CO-OCCURRING WORDS

Window-based Co-occurrence Matrix

- In this method, given a <u>text corpus</u>, we count the number of times each (context) word co-occurs:
 - inside a **window** of a particular size,
 - with the word of interest (i.e., target word).
- The resulting matrix is also known as (window-based)
 - Word-word co-occurrence Matrix
 - Term-context Matrix
 - Count Matrix
- Each word is represented by a so-called Count Vector.

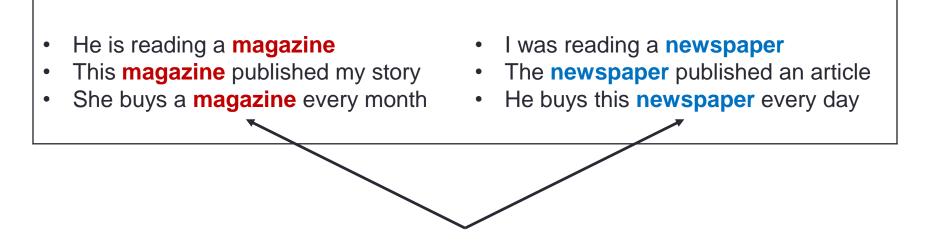
- One way of creating a vector for a word:
 - Let's count how often a (context) word co-occurs together with specific other words.

He is reading a magazine

- This magazine published my story
- She buys a magazine every month
- I was reading a newspaper
- The newspaper published an article
- He buys this newspaper every day

The considered text corpus

- One way of creating a vector for a word:
 - Let's **count** how often a (context) word co-occurs together with specific other words.



The considered target words, i.e., magazine and newspaper

- One way of creating a vector for a word:
 - Let's **count** how often a (context) word co-occurs together with specific other words.



We select a <u>window</u> of **size 2** with respect to the considered <u>target words</u>

- One way of creating a vector for a word:
 - Let's count how often a (context) word co-occurs together with specific other words.

- He is reading a magazine
- She buys a magazine every month
- I was reading a newspaper
- This magazine published my story The newspaper published an article
 - He buys this **newspaper** every day

We build the window-based co-occurrence matrix

	reading	а	this	published	my	buys	the	an	every	month	day
magazine	1	2	1	1	1	1	0	0	1	1	0
newspaper	1	1	1	1	0	1	1	1	1	0	1

A simple example

- One way of creating a vector for a word:
 - Let's count how often a (context) word co-occurs together with specific other words.

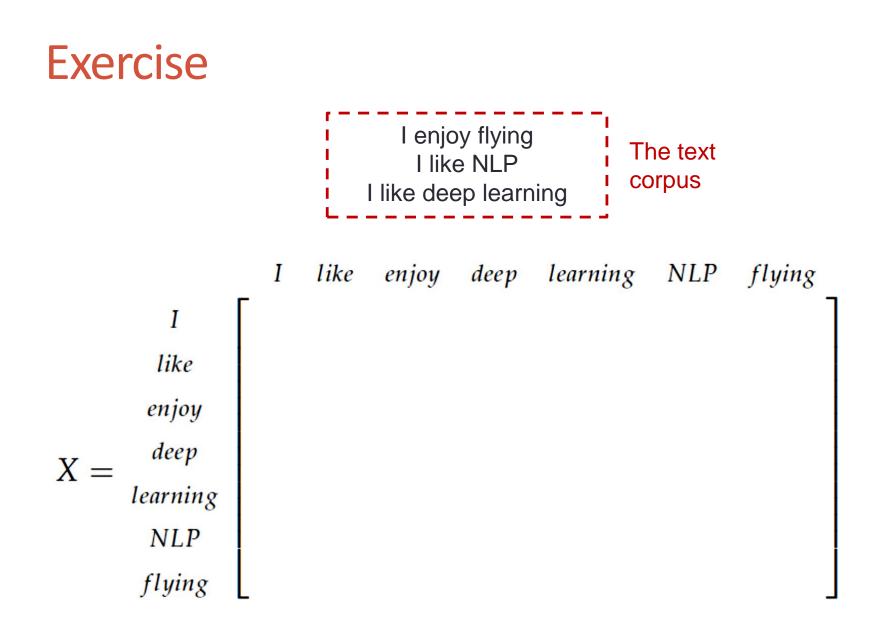
- He is reading a magazine
- She buys a magazine every month
- I was reading a newspaper
- This magazine published my story The newspaper published an article
 - He buys this **newspaper** every day

oras	. –		reading	а	this	published	my	buys	the	an	every	month	day
et vo		magazine	1	2	1	1	1	1	0	0	1	1	0
arge		newspaper	1	1	1	1	0	1	1	1	1	0	1

context words

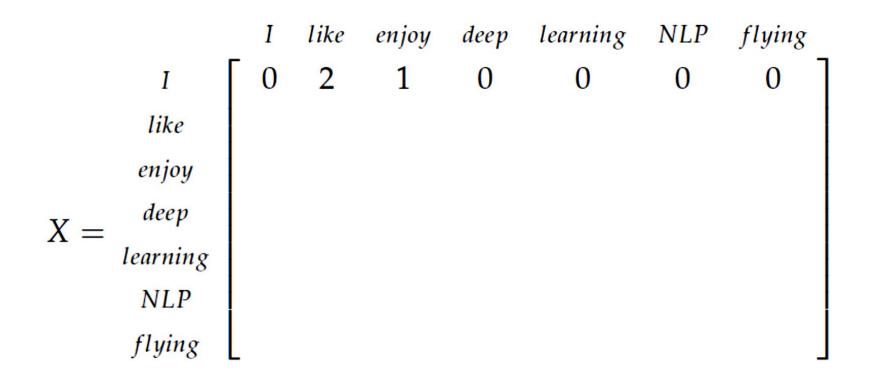
How does this work in general?

- We calculate this count not only for specific target words, but for all the words in the text corpus.
- Let our corpus contain just three sentences and the window size be 1:
 - 1. I enjoy flying
 - 2. I like NLP
 - 3. I like deep learning
- The resulting co-occurrence matrix will then be?
 EXERCISE



Solution

I enjoy flying I like NLP I like deep learning



Solution

I enjoy flying I like NLP I like deep learning

		Ι	like	enjoy	deep	learning	NLP	flying
	I	0	2	1	0	0	0	0]
	like	2	0	0	1	0	1	0
	enjoy	1	0	0	0	0	0	1
у _	deep	0	1	0	0	1	0	0
Λ —	learning	0	0	0	1	0	0	0
	NLP	0	1	0	0	0	0	0
	flying	0	0	1	0	0	0	0

To recap

Using a (Window-based) Word-word Co-occurrence Matrix representation for large text corpora:

- Generates a $|V| \times |V|$ co-occurrence matrix X.
- The distinction between a target word and a context word is arbitrary and that we are free to exchange the two roles.

Raw frequency is a bad representation

- Frequency is clearly useful; if *sugar* appears a lot near *apricot*, that's useful information.
- But overly frequent words like *the*, *it*, or *they* are not very informative about the context.

More frequent words dominate the vectors.

- Need a way that resolves this frequency paradox!
- Can use a <u>weighting scheme</u> like:
 - TF-IDF (already seen in detail).
 - Pointwise Mutual Information (PMI).

Pointwise Mutual Information (PMI)

Pointwise Mutual Information:

• Do events x and y co-occur more than if they were independent?

$$PMI(x, y) = \log_2\left(\frac{P(x, y)}{P(x)P(y)}\right)$$

- PMI between two words: (Church & Hanks 1989)
 - Do words w_1 and w_2 co-occur more than if they were independent?

$$PMI(w_1, w_2) = \log_2\left(\frac{P(w_1, w_2)}{P(w_1)P(w_2)}\right)$$

Positive PMI (PPMI)

- PMI ranges from $-\infty$ to $+\infty$
- **Negative values** are problematic:
 - Things are co-occurring less than we expect by chance.
 - Unreliable without enormous corpora.
 - Imagine w_1 and w_2 whose probability is each 10^{-6} .
 - Hard to be sure $P(w_1, w_2)$ is significantly different than 10^{-12} .
- We just replace negative PMI values by 0.
 - Positive PMI (PPMI) between w_1 and w_2 :

$$PPMI(w_1, w_2) = \max\left(\log_2\left(\frac{P(w_1, w_2)}{P(w_1)P(w_2)}\right), 0\right)$$

• Let us consider the following **term-context matrix** *X*:

X	 computer	data	pinch	result	sugar	
apricot	 0	0	1	0	1	
pineapple	 0	0	1	0	1	
digital	 2	1	0	1	0	
information	 1	6	0	4	0	

- Matrix X with W rows (words) and C columns (context words)
 - Please remember that W and C can be equal in real scenarios, in particular W = C = |V|.

• PPMI(
$$w_i, c_j$$
) = max $\left(\log_2\left(\frac{P(w_i, c_j)}{P(w_i)P(c_j)}\right), 0\right)$

• We need to compute:

 $P(w_i, c_j) = (\text{Count of co-occurrence of } w_i \text{ and } c_j \text{ in the context}) / (\text{Total word count in the context})$

- $P(w_i) = (\text{Count of word } w_i \text{ in the context}) / (\text{Total word count in the context})$
- $P(c_j) = (\text{Count of word } c_j \text{ w.r.t. target words}) / (\text{Total word count in the context})$

• f_{ij} is the number of times the word w_i and c_j co-occur.

$$P(w_i, c_j) = \frac{f_{ij}}{\sum_{i=1}^W \sum_{j=1}^C f_{ij}}$$
$$P(w_i) = \frac{\sum_{j=1}^C f_{ij}}{\sum_{i=1}^W \sum_{j=1}^C f_{ij}}$$

$$P(c_j) = \frac{\sum_{i=1}^W f_{ij}}{\sum_{i=1}^W \sum_{j=1}^C f_{ij}}$$

Count(w,context)

	computer	data	pinch	result	sugar
apricot	0	0	1	0	1
pineapple	0	0	1	0	1
digital	2	1	0	1	0
information	1	6	0	4	0

•
$$P(w = \text{information}, c = \text{data}) = \frac{6}{19} = 0.32$$

•
$$P(w = \text{information}) = \frac{11}{19} = 0.58$$
 $P(c = \text{data}) = \frac{7}{19} = 0.37$

	p(w)					
	computer	data	pinch	result	sugar	
apricot	0.00	0.00	0.05	0.00	0.05	0.11
pineapple	0.00	0.00	0.05	0.00	0.05	0.11
digital	0.11	0.05	0.00	0.05	0.00	0.21
information	0.05	(0.32)	0.00	0.21	0.00	(0.58)
p(context)	0.16	(0.37)	0.11	0.26	0.11	

•
$$P(w = \text{information}, c = \text{data}) = \frac{6}{19} = (0.32)$$

• $P(w = \text{information}) = \frac{11}{19} \neq (0.58)$; $P(c = \text{data}) = \frac{7}{19} \neq (0.37)$;

	p(w)					
	computer	data	pinch	result	sugar	
apricot	0.00	0.00	0.05	0.00	0.05	0.11
pineapple	0.00	0.00	0.05	0.00	0.05	0.11
digital	0.11	0.05	0.00	0.05	0.00	0.21
information	0.05	(0.32)	0.00	0.21	0.00	(0.58)
p(context)	0.16	(0.37)	0.11	0.26	0.11	

• *PPMI*(information, data) = max
$$\left(\log_2\left(\frac{P(\text{information,data})}{P(\text{information})P(\text{data})}\right), 0\right)$$

= max $\left(\log_2\left(\frac{0.32}{0.58*0.37}\right), 0\right) = 0.57$

PPMI	(w,context)
------	-------------

	computer	data	pinch	result	sugar
apricot	-	-	2.25	-	2.25
pineapple		-	2.25	-	2.25
digital	1.66	<u>0.00</u>	-	0.00	-
information	0.00	0.57	-	0.47	-

Exercise

Count(w,context)

	computer	data	pinch	result	sugar
apricot	0	0	1	0	1
pineapple	0	0	1	0	1
digital	2	1	0	1	0
information	1	6	0	4	0

•
$$P(w = \text{information}, c = \text{result}) = -$$

• P(w = information) = - P(c = result) = -

Weighting (P)PMI

• (P)PMI is biased toward infrequent events.

• Very rare words have very high PMI values.

	Count(w,context)						PPMI(w,context)				
	computer	data	pinch	result	sugar	computer	data	pinch	result	sugar	
apricot	0	0	1	0	1	-	-	2.25	-	2.25	
pineapple	0	0	1	0	1	-	-	2.25	-	2.25	
digital	2	1	0	1	0	1.66	0.00	-	0.00	-	
information	1	6	0	4	0	0.00	0.57	-	0.47	-	

- Two solutions:
 - 1. Give rare context words slightly higher probabilities.
 - 2. Use add-*k* smoothing (which has a similar effect).
 - We add a value of k to every frequency in the term-context matrix.

Slightly higher probability to context words

• Raise the context probabilities to $\alpha = 0.75$ ($\alpha \in [0,1]$):

$$PPMI_{\alpha}(w,c) = \max\left(\log_{2}\frac{P(w,c)}{P(w)P_{\alpha}(c)},0\right)$$

$$P_{\alpha}(c) = \frac{count(c)^{\alpha}}{\sum_{c} count(c)^{\alpha}}$$

- This helps because $P_{\alpha}(c) > P(c)$ for rare c
 - Consider two context words, P(a) = 0.99 and P(b) = 0.01

•
$$P_{\alpha}(a) = \frac{0.99^{0.75}}{0.99^{0.75} + 0.01^{0.75}} = 0.97$$
 $P_{\alpha}(b) = \frac{0.01^{0.75}}{0.99^{0.75} + 0.01^{0.75}} = 0.03$

Add-2 smoothing

Count(w, context)

	computer	data	pinch	result	sugar
apricot	0	0	1	0	1
pineapple	0	0	1	0	1
digital	2	1	0	1	0
information	1	6	0	4	0

	Add-2 Sm	Add-2 Smoothed Count(w, context)									
	computer	computer data pinch result sugar									
apricot	2	2	3	2	3						
pineapple	2	2	3	2	3						
digital	4	3	2	3	2						
information	3	8	2	6	2						

Add-2 smoothing

Add-2 Smoothed Count(w, context)

	computer	data	pinch	result	sugar
apricot	2	2	3	2	3
pineapple	2	2	3	2	3
digital	4	3	2	3	2
information	3	8	2	6	2

		p(w)				
	computer	data	pinch	result	sugar	
apricot	0.03	0.03	0.05	0.03	0.05	0.20
pineapple	0.03	0.03	0.05	0.03	0.05	0.20
digital	0.07	0.05	0.03	0.05	0.03	0.24
information	0.05	0.14	0.03	0.10	0.03	0.36
p(context)	0.19	0.25	0.17	0.22	0.17	

PPMI versus add-2 smoothed PPMI

p(w,context)						
	computer	data	pinch	result	sugar	
apricot	0.00	0.00	0.05	0.00	0.05	0.11
pineapple	0.00	0.00	0.05	0.00	0.05	0.11
digital	0.11	0.05	0.00	0.05	0.00	0.21
information	0.05	0.32	0.00	0.21	0.00	0.58
p(context)	0.16	0.37	0.11	0.26	0.11	
		p(w,con	text) [ad	dd-2]		p(w)
	computer	data	pinch	result	sugar	
apricot	0.03	0.03	0.05	0.03	0.05	0.20
pineapple	0.03	0.03	0.05	0.03	0.05	0.20
digital	0.07	0.05	0.03	0.05	0.03	0.24
information	0.05	0.14	0.03	0.10	0.03	0.36

p(context)	0.19	0.25	0.17	0.22	0.17

PPMI versus add-2 smoothed PPMI

	PPMI(w,context)					
	computer	data	pinch	result	sugar	
apricot	-	-	2.25	-	2.25	
pineapple	-	-	2.25	-	2.25	
digital	1.66	0.00	-	0.00	-	
information	0.00	0.57	-	0.47	-	

PPMI(w,context) [add-2]

	computer	data	pinch	result	sugar
apricot	0.00	0.00	0.56	0.00	0.56
pineapple	0.00	0.00	0.56	0.00	0.56
digital	0.62	0.00	0.00	0.00	0.00
information	0.00	0.58	0.00	0.37	0.00

PPMI versus add-2 smoothed PPMI

Count(w, context)

	computer	data	pinch	result	sugar
apricot	0	0	1	0	1
pineapple	0	0	1	0	1
digital	2	1	0	1	0
information	1	6	0	4	0

PPMI(w,context) [add-2]

	computer	data	pinch	result	sugar
apricot	0.00	0.00	0.56	0.00	0.56
pineapple	0.00	0.00	0.56	0.00	0.56
digital	0.62	0.00	0.00	0.00	0.00
information	0.00	0.58	0.00	0.37	0.00

From sparse to dense vectors

- A Co-occurrence Matrix in reality is constituted by a very large number of words
 - For each word, tf-idf and PPMI vectors are:
 - **long** (length |V| = 20,000 to 50,000);
 - **sparse** (most elements are equal to zero).
- There are techniques to learn lower-dimensional vectors for words, which are:
 - **short** (length = 50 to 1,000) (usually around 300);
 - dense (most elements are non-zero).
- These <u>dense vectors</u> are called **embeddings**.